

# VECTOR SPACES

|F91/a2

A vector space is defined over a field  $F$ : See below

Then a vector space is a set of elements  $\{V\}$  and an operation  $+$  such that

a)  $\{V, +\} = \text{Abelian group}$

Also:

b)  $\forall \beta, \alpha \in F$  and  $x \in V$  then  $\alpha x$  is also in  $V$ , and

b1)  $\alpha(\beta x) = (\alpha\beta)x$

b2)  $1x = x \quad \forall x$

c) distributivity

c1)  $\alpha(x+y) = \alpha x + \alpha y$

c2)  $(\alpha + \beta)x = \alpha x + \beta x$

Terminology:  $F = \text{real numbers} \Rightarrow \text{Real Vector Space}$

$F = \text{complex} \Rightarrow \text{Complex} \quad '' \quad ''$

Examples of Vector Spaces: (Prototype Vector Space)  $\downarrow$

i) Set of all  $n$ -tuples:  $x = (x_1, x_2, x_3, \dots, x_n)$

$\alpha x = (\alpha x_1, \alpha x_2, \dots, \alpha x_n)$ ;  $x+y = (x_1+y_1, \dots, x_n+y_n)$

$0 = (0, 0, \dots, 0)$

2) Set of all complex numbers

F 92

The numbers themselves can be viewed as vectors

$$X = a + ib$$

3) The set of all polynomials in a variable t

$$X_1 = P_1(t) = a_1 + b_1 t + c_1 t^2 + \dots$$

$$X_2 = P_2(t) = a_2 + b_2 t + c_2 t^2 + \dots$$

This space is infinite-dimensional, as we discuss later when we develop the theory of Hilbert Space

## LINEAR INDEPENDENCE

(2.2)

A finite set of vectors  $\{x_i\}$  is linearly-independent

~~if and only if~~ if and only if

$$\sum_i \alpha_i x_i = 0 \Rightarrow \alpha_i = 0 \quad \forall_i$$

To understand this suppose that  $\alpha_j \neq 0$ . Then one can solve for  $x_j$ :

$$x_j = -\frac{1}{\alpha_j} (\alpha_1 x_1 + \alpha_2 x_2 + \dots + \alpha_n x_n)$$

This expresses  $x_j$  in terms of the other vectors, so  $\alpha_j$  is not linearly independent. (Note that the vector  $x=0$  is linearly-dependent since one can express it in terms of any other vector  $x$ :  $0 = 0 \cdot x$ )

EXAMPLES: (1)  $x_1(t) = 1 - t$     $x_2(t) = t - t^2$     $x_3(t) = 1 - t^2$

These 3 vectors are linearly dependent since

$$\sum_{i=1}^3 \alpha_i x_i(t) = 0$$

can be satisfied with  $\alpha_1 = \alpha_2 = 1$ ,  $\alpha_3 = -1$ , so  $\alpha_i \neq 0$ .

(2)  $x_0(t) = 1$     $x_1(t) = t$ , ...  $x_n(t) = t^n$

$$\text{form } \sum_{i=1}^n \alpha_i x_i(t) = 0$$

In this case the only way that this equation can hold is if all  $\alpha_i \equiv 0$  [consequence of a theorem of algebra]

## BASES AND DIMENSIONALITY

A basis in  $V$  is a set  $\{x_i\}$  for  $x_i \in V$  such that every  $x \in V$  is a linear combination of the  $x_i$ :

$$x = \sum_{i=1}^n \alpha_i x_i \quad \forall x$$

If the  $\{x_i\}$  are finite in number then  $V$  is a finite-dimensional vector space. Otherwise it is infinite-dimensional.

Examples:

1) In 3-dim  $\{x_i\} = \{\hat{i}, \hat{j}, \hat{k}\}$  where

$$\hat{i} = (1, 0, 0) \quad \hat{j} = (0, 1, 0) \quad \hat{k} = (0, 0, 1)$$

2) For the polynomials the basis can be

F96

taken to be  $\{x_n(t)\}$  where  $x_n(t) = t^n$ . This is an infinite basis. We will later see that this is the starting point of our discussion of HILBERT SPACE

Theorem: For  $\forall x \in V$  there is a unique representation in terms of a given basis  $\{x_i\}$ .

Proof: Assume the contrary, then

$$x = \sum_i \alpha_i x_i \quad \text{and also } x = \sum_i \beta_i x_i$$

$$\text{Then } 0 = \sum_i (\alpha_i - \beta_i) x_i \equiv \sum_i \gamma_i x_i$$

But the  $\{x_i\}$  are linearly independent (by assumption)

$$\Rightarrow \gamma_i \equiv 0 \Rightarrow \boxed{\alpha_i = \beta_i} \leftarrow \text{Uniqueness}$$

Definition: Dimension of a vector space = (unique) number of basis vectors

ISOMORPHISM OF VECTOR SPACES: ("Iso" = same; "MORPH" = form)

The space spanned by the  $n$ -tuples in  $n$ -dimensions  $\equiv \mathbb{F}^n$

Ex:  $\mathbb{F}^3$  is spanned by  $(1, 0, 0)$   $(0, 1, 0)$   $(0, 0, 1)$ .

We can then show that every finite  $n$ -dimensional vector space is isomorphic to  $\mathbb{F}^n$ .

Definition: Two vector spaces  $U$  and  $V$  are isomorphic if

a)  $U$  and  $V$  are over the same field

b) There exists a 1-1 correspondence between  $x \in U$  and  $y \in V$

such that  $y = T(x)$  where

$$T(x_1 + x_2) = T(x_1) + T(x_2) = y_1 + y_2$$

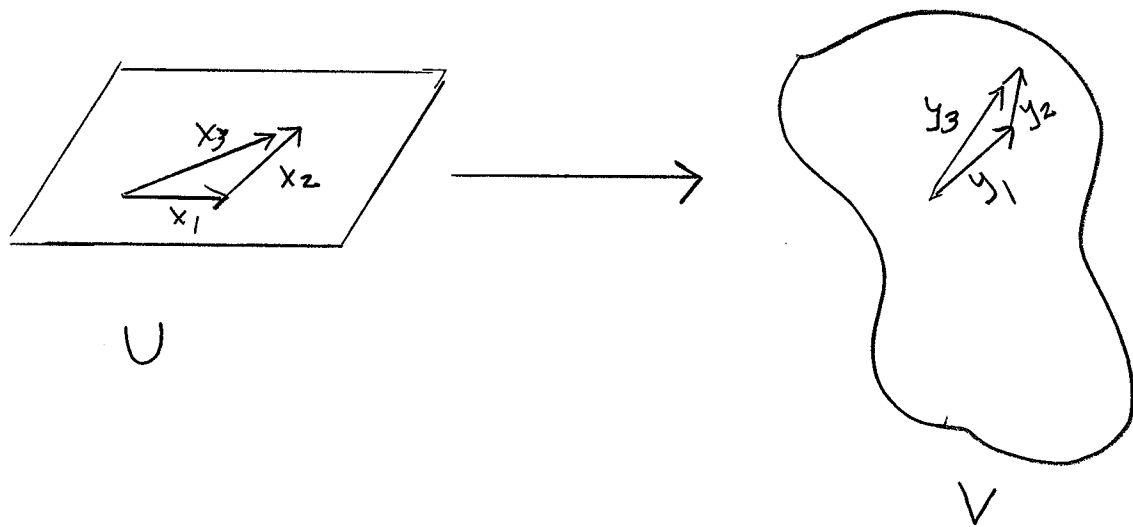
$$T(\alpha x_1) = \alpha T(x_1) = \alpha y_1$$

These relations can be grouped into a single equation

$$T(\alpha_1 x_1 + \alpha_2 x_2) = \alpha_1 T(x_1) + \alpha_2 T(x_2) = \alpha_1 y_1 + \alpha_2 y_2$$

"preserves algebraic structure"

Schematically this can be visualized as follows:



Simply stated: If  $x_1 \rightarrow y_1$ , and  $x_2 \rightarrow y_2$ , and  $x_3 \rightarrow y_3$ , then if the effect of adding  $x_1$  and  $x_2$  in  $U$  is to give  $x_3$ , then it must be the case also that the effect of adding  $y_1$  and  $y_2$  in  $V$  gives the corresponding vector  $y_3$ .

ISOMORPHISMS MORE GENERALLY

Consider 2 systems  $S = \{E, \times\}$  and  $S' = \{E', \star\}$

We wish to establish an isomorphism between  $S$  and  $S'$ :

$E$	$f$ : 1-1 mapping	$E'$
$a$	$\longrightarrow$	$f(a) \equiv a'$
$b$	$\longrightarrow$	$f(b) \equiv b'$
$a \times b = c$	$\longrightarrow$	$f(a) \star f(b) \stackrel{?}{=} f(c) = f(a \times b)$ $a' \star b' \stackrel{?}{=} c'$
$c$	$\longrightarrow$	$f(c) = c'$

If the ? turns out correctly then  $S$  and  $S'$  are isomorphic.

The two systems  $S$  and  $S'$  can be isomorphic even if the operations  $\times$  and  $\star$  have nothing to do with each other.

This is illustrated by the following examples where the elements of  $S$  are rotations, whereas the elements of  $S'$  are permutations:

isomorphic systems that at first glance look very different. Consider the six  $2 \times 2$  matrices:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

I

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

A

$$\begin{pmatrix} -1/2 & \sqrt{3}/2 \\ \sqrt{3}/2 & 1/2 \end{pmatrix}$$

B

F-99

$$\begin{pmatrix} -1/2 & -\sqrt{3}/2 \\ -\sqrt{3}/2 & 1/2 \end{pmatrix}$$

C

$$\begin{pmatrix} -1/2 & \sqrt{3}/2 \\ -\sqrt{3}/2 & -1/2 \end{pmatrix}$$

D

$$\begin{pmatrix} -1/2 & -\sqrt{3}/2 \\ \sqrt{3}/2 & -1/2 \end{pmatrix}$$

E

$-1/2 \quad \sqrt{3}/2$   
 $-\sqrt{3}/2 \quad -1/2$

These six matrices form a group which can be verified. We give the multiplication table for this group which tells us everything about it. Note that this group is not Abelian.

	I	A	B	C	D	E
I	I	A	B	C	D	E
A	A	I	D	E	B	C
B	B	E	I	D	C	A
C	C	D	E	I	A	B
D	D	C	A	B	E	I
E	E	B	C	A	I	D

$AB = E$

First, it is clear that the system is closed. The unit element of the group is the matrix I. To determine the inverse of a given element (say C) we look at the multiplication table to see what element X is such that  $CX = XC = I$ . Answer  $C^{-1} = C$ . Also,  $D^{-1} = E$ . Matrix multiplication is associative (to be proven later), so we have a group. Let us refer to this system as  $\{M, \cdot\}$ , M for the set of six matrices and  $\cdot$  for matrix multiplication.

Now we consider the set of six permutations of three numbers as another system;

F100

$$\begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}$$

I'

$$\begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$$

A'

$$\begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}$$

B'

$$\begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}$$

C'

$$\begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}$$

D'

$$\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$$

E'

The product of two permutations is the single permutation that accomplishes what two applied successively would accomplish, e.g.

1)  $\begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \odot \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}$

*(B' \odot D' = C')*

2)  $\begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix} \odot \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}$

*(A' \odot B' = D')*

3)  $\begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix} \odot \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}$

*(I' \odot C' = E')*

4)  $\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \odot \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$

*(E' \odot C' = A')*

5)  $\begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \odot \begin{pmatrix} 1 & 2 & 3 \\ x & y & z \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ z & x & y \end{pmatrix}$

*ie. transposition 1-2-3*

*Handwritten marks and scribbles on the right side of the page.*



# ANGULAR MOMENTUM AND ISOMORPHISMS

101

A common example of the application of the ideas of isomorphism is the theory of angular momentum in quantum mechanics.

The angular momentum operator  $\vec{J}$  for spin- $\frac{1}{2}$  particles is given by

$$\vec{J} = \frac{\hbar}{2} \vec{\sigma}$$

KNOW THESE!!

(1)

$$\sigma_x \equiv \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \sigma_y = \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \quad \sigma_z = \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (2)$$

By direct computation we can establish that these operators satisfy the commutation relations

$$[J_x, J_y] = i\hbar J_z, \quad \text{etc. cycl.} \quad (3)$$

$|\vec{J}| = \frac{1}{2}\hbar$  is the smallest angular momentum that any object can have, and the representation of  $\vec{J}$  as in (1) & (2) is called the FUNDAMENTAL REPRESENTATION, and this defines the algebra of angular momentum operators as in (3).

However, once the abstract relations in (3) are obtained, they can be realized by other operators:  $3 \times 3$ ,  $4 \times 4$ , ... matrices, which are then said to be isomorphic to  $\frac{\hbar}{2} \vec{\sigma}$ . Moreover, the commutation relations in (3) can be realized by other structures, such as differential operators; these are then said to be isomorphic to the matrices in (2):

$$J_x = \left( y \frac{\hbar}{i} \frac{\partial}{\partial z} - z \frac{\hbar}{i} \frac{\partial}{\partial y} \right); \quad J_y = \left( z \frac{\hbar}{i} \frac{\partial}{\partial x} - x \frac{\hbar}{i} \frac{\partial}{\partial z} \right); \quad J_z = \left( x \frac{\hbar}{i} \frac{\partial}{\partial y} - y \frac{\hbar}{i} \frac{\partial}{\partial x} \right)$$

Theorem:

Every  $n$ -dimensional vector space  $V$  over a field  $F$  is isomorphic to the space  $F^n$  of the  $n$ -tuples of  $F$ .

Proof: Let  $\{x_1, \dots, x_n\}$  be any basis in  $V$ . Hence for  $x \in V$

$$x = \sum_i \alpha_i x_i \quad (\alpha_i \text{ are unique}) \quad (1)$$

The proposed isomorphism is

$$V \longleftrightarrow F^n \quad (2)$$

$$x \in V \longleftrightarrow (\alpha_1, \alpha_2, \dots, \alpha_n) \quad (3)$$

Symbolically  $T(x) = (\alpha_1, \alpha_2, \dots, \alpha_n)$  (4)

[ $T(x)$  picks out the coefficients of  $x_i$  in  $x = \sum_i \alpha_i x_i$ ] \*

$$(1)-(4) \Rightarrow \text{If } y = \sum_i \beta_i x_i \text{ then } (cx+dy) = c \sum_i \alpha_i x_i + d \sum_i \beta_i x_i = \sum_i (c\alpha_i + d\beta_i) x_i \quad (4)$$

\* Since  $T(\dots)$  picks out the coeff. of  $x_i \Rightarrow$

$$T(cx+dy) = c\alpha_i + d\beta_i = (c\alpha_1 + d\beta_1, c\alpha_2 + d\beta_2, \dots) \leftarrow \text{OK} \quad (5)$$

To establish an isomorphism we want to show that this is the same as

$$cT(x) + dT(y): \quad cT(x) = c(\alpha_1, \alpha_2, \dots, \alpha_n) = (c\alpha_1, c\alpha_2, \dots, c\alpha_n)$$

$$dT(y) = d(\beta_1, \beta_2, \dots, \beta_n) = (d\beta_1, d\beta_2, \dots, d\beta_n)$$

$$\therefore cT(x) + dT(y) = (c\alpha_1 + d\beta_1, c\alpha_2 + d\beta_2, \dots, c\alpha_n + d\beta_n) \leftarrow \text{OK QED}$$

Corollary: Any two  $n$ -dim vector spaces over the same  $F$  are isomorphic to each other [Since they are both isomorphic to  $F^n$ ].

# LINEAR TRANSFORMATIONS (l.t.)

F103/104/105

A l.t.  $A$  on  $V$  assigns to every  $x \in V$  another vector  $Ax \in V$  such that

$$A(\alpha x + \beta y) = \alpha A(x) + \beta A(y) \quad (1)$$

The product:  $P_x = (AB)x \equiv A(Bx) \quad (2)$

Examples:

1) Rotations in a ~~2D~~ plane:  $A \equiv R = \text{Rotation operator}$  (3)  
 $\vec{x} \rightarrow R\vec{x} = R(\theta)\vec{x}$

2)  $Ox = 0$  (4)  
 $Ix = x$

3)  $D = \text{differentiation} \Rightarrow Df(x) \equiv \frac{df}{dx}$  (5)  
 $X = \text{multiplication by } x \Rightarrow Xf(x) = xf(x)$

Then:  $(DX)f(x) = D(Xf(x)) = \frac{d}{dx}(xf(x)) = f(x) + x \frac{df(x)}{dx}$  (6)

$$(XD)f(x) = X\left(\frac{df(x)}{dx}\right) = x \frac{df(x)}{dx} \quad (7)$$

$$\therefore [DX - XD]f(x) = f(x) \Leftrightarrow [D, X] = I \quad (8)$$

This commutation relation is at the heart of quantum mechanics!

Let  $p_x \rightarrow -i\hbar \frac{d}{dx} = -i\hbar D$ . Then (8)  $\Rightarrow [X, p_x] = i\hbar I$

4) In the space of polynomials of degree  $m$ ,  $P_m$  define the linear transformation  $D$  via

$$D P_m(x) = \frac{d}{dx} P_m(x)$$

$$D^1 \equiv D, \quad D^2 \equiv DD \quad ; \quad D^n = \underbrace{D D \dots D}_{n \text{ factors}}$$